

PTO/SB/64 (10-07)

Approved for use through 10/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Mater the Paperwork Reduction Act of 1995, no persons are required to respond to a cor		
PETITION FOR REVIVAL OF AN APPLICATION FOR		Docket Number (Optional)
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1	.137(b)	GEC-001-2US
·		Ì
First named inventor: GREGORY 5. CONNER		
	Art Unit:	1616
11119001		
Filed: FEBRUARY 3, 2004	Examiner:	ALSTRUM-ACEVEDO,
Title: NOVEL METHODS AND DEVICES		
FOR TREATING LUNG DYSFUNCTION	Ν.	
Attention: Office of Petitions		
Mail Stop Petition Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		
FAX (571) 273-8300		·
NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.		
The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus an extensions of time actually obtained.		
APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION		
NOTE: A grantable petition requires the following items: (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and (4) Statement that the entire delay was unintentional.		
(4) Statement that the entire delay was drinker	itioriai.	·
1.Petition fee Small entity-fee \$ 770 00 (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27.		
Other than small entity – fee \$ (37 CFR 1.1	7(m))	
2. Reply and/or fee		·
A. The reply and/or fee to the above-noted Office action in the form of RESPONSE TO OFFICE ACTION		dentify type of reply):
☐ has been filed previously on NOVEMBER is enclosed herewith.		
B. The issue fee and publication fee (if applicable) of \$_		2007 EAREGAY1 03022044 10771057
has been naid previously on	Ci. FCai	2 453 . 770。80 (

is enclosed herewith.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 3. Terminal disclaimer with disclaimer fee Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required. A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63). 4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c). subsections (III)(C) and (D)).] **WARNING:** Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. 3각, 090 c/ Registration Number, if applicable EOW/N P. CHING

Typed or printed name 4248 JEPFERSON AUBUUC 916-955-6241 WOODSDE, CACAPORNIA 94062 Address Enclosures: X Fee Payment (CHECK) PETITION SHEETS AND ATTACHED RESPONSE TO OFFICE ACTION Terminal Disclaimer Form Additional sheets containing statements establishing unintentional delay (PETITON SHEETS) Other: TRANSMITTAL FORM; FEE TRANSMITTIL: CHECK! RETURN ASSTCARD CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being: Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450. Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300. OCTOBER Typed or printed name of person signing certificate

I hereby certify that, on the date shown below, this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (571)273-8300.

PATENT

Date: October ${\color{red} {\cal Q}}$, 2007 By: $_$

Edwin P. Ching

Atty. Docket No. GEC-001-2US

OCT 1 2 2007 & apr

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

Gregory E. Conner

Serial No.: 10/771,057

Filed: February 3, 2004

For: NOVEL METHODS AND DEVICES

FOR TREATING LUNG

DYSFUNCTION

Examiner:

Alstrum Acevedo, J.H.

Art Unit:

1616

PETITION TO REVIVE

Woodside, California 94062

October <u>9</u>, 2007

Assistant Commissioner for Patents Alexandria, Virginia 22313

Honorable Sir:

Applicant's representative respectfully petitions the Commissioner of Patents and Trademarks to revive the above-identified patent application under the provisions of 37 C.F.R. § 1.137 on the ground that the abandonment of the above-identified application was completely unintentional.

It is appreciated that the Office has determined that the application has been abandoned since about February 12, 2007. In fact, transmitted documents clearly indicate that Applicant's representative had been trying to submit a response in a timely and acceptable manner. In part, a computer hardware problem led to the need to get a new computer with the new Vista operating system, which was incompatible with available driver software allowing communication with representative's printer. This problem prevented the prepared document from being printed and signed with available equipment. The electronic version was transmitted to a different site and submitted without signature, but indicated as being substantively equivalent to the earlier submitted form. In particular, page breaks were incorporated to comply with the format needs for PAIR electronic posting. Moreover, a number of telephone calls were made

CONNER, U.S. Ser. No.: 10/771, 057

Page 1 of 2

to the Office to request explanation, for confirmation of receipt, assurance that the submission was acceptable, or some indication of how to remedy any inadequacies. No reply to those inquiries was received from the Office and no assistance or explanation was provided which could have resulted in expeditious payment of extension fees before statutory deadlines had passed. Applicant and representative interpreted this lack of response, combined with posting of the document onto the electronic system, as indication that the submission was received, accepted, and acceptable.

Applicants submit a response which is believed to be fully compliant with the format requirements, but whose substance is equivalent to both earlier submissions.

Applicant also submits fees believed to be appropriate and sufficient for the revival petition.

Conclusion

Applicant petitions to revive an application which has been unintentionally abandoned, and submit what are believed to be appropriate and sufficient fees. The attached response, a reformatted version of earlier filed responses, is believed to be a complete response to all the outstanding issues of the latest Office Action and is a bona fide effort to place the application in condition for allowance.

Accordingly, Applicant respectfully requests grant of the petition to revive, and upon consideration of the response, passage of the claims to allowance at the earliest possible convenience. Should the Examiner deem the petition insufficient, Applicant respectfully requests any deficiencies explained.

Please continue to send all official written communications to the correspondence address in Florida.

Respectfully submitted,

Date: October 9, 2007

Reg. No. 34,090

Attorney for Applicant

TEL: (916)955-6241

Attachment: reformatted response

CONNER, U.S. Ser. No.: 10/771, 057

Page 2 of 2